#### Digital Preservation: key technical and strategic issues





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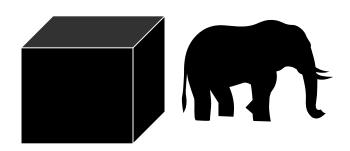
## **Digital Preservation**

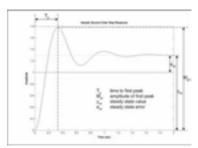
1. The case in Portugal...





2. What is really the issue?





### Digital Preservation in Portugal

- Political awareness (scientific and cultural heritage)
  - Government (Ministry of Culture and Ministry of Science and Higher Education) might be slightly aware (MinervaPLus, etc.), but so far there is not any "top-down" relevant initiative... (but we are having a new government...)
  - Several digitization projects have been in place in the country, but preservation has not been an issue...
- Real actions in the field
  - (yet very) Few universities are running institutional repositories...
  - National Library, National Archives, and the Institute for the Museums, are very well aware of the problem!!!
    - They are partners of the forthcoming PREDICA Network of Excellence on Preservation and High Quality Digitization (coordinated by INESC)
  - National Library has been addressing the issue in the scope of the National Digital Library Initiative (1998...present) >>>>>

#### **BN - National Library of Portugal**

- Patrimonial and Regulatory Library
  - Legal Deposit
    - BN and 10 more libraries (including Brazil)
    - Covering only printed materials
    - New law awaited...
  - Coordination of PORBASE
- Major renovation in the middle of the 90's
  - >300 employees
  - New I&D structure (>30 employees...)
    - New computing department (12 employees)
  - New initiatives
    - BND: National Digital Library

#### **National Library of Portugal (with INESC-ID)**

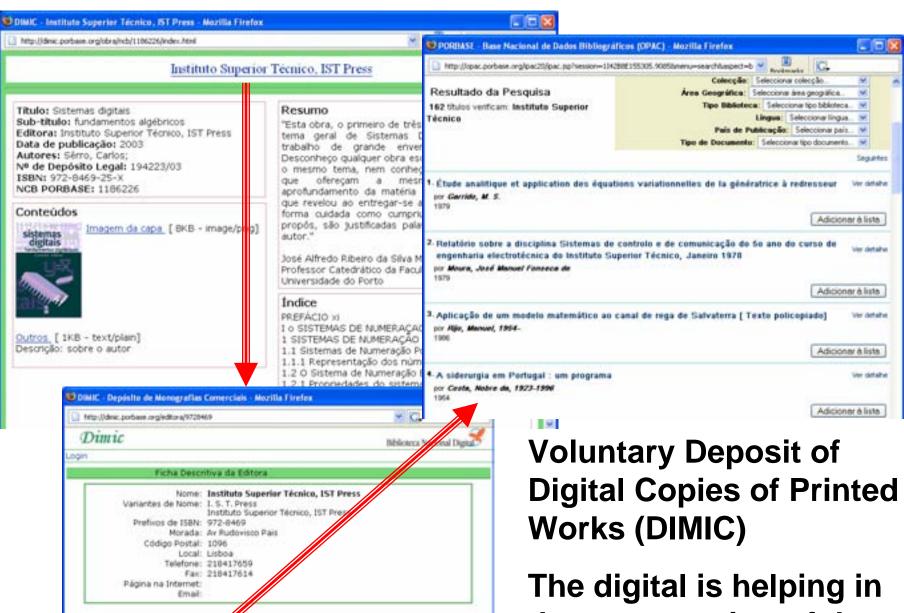
- BN: Enterprise Architecture and Technology
  - -SOA: Service Oriented Architecture (web services, OAI, ...)
  - Flexible, scalable and trusted storage technology...
  - -Structural metadata (METS, UNIMARC, Dublin Core, ...)...
  - -Open software and standards...

#### BND: Contents

- Selective archiving of web sites and on-line documents
- -voluntary deposit of thesis and other documents
- -in-house digitization
- electronic publishing...

#### BN: Expertise

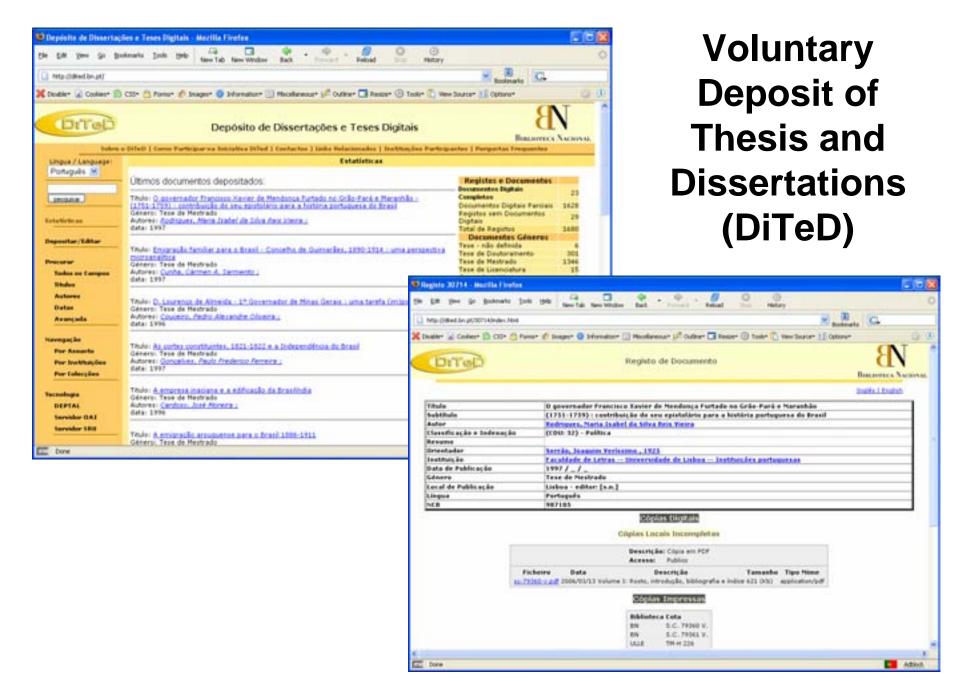
- NEDLIB, DELOS, TEL, MinervaPLus, ...
- Coordination of the IFLA's UNIMARC activities...
- BND: Biblioteca Nacional Digital (National Digital Library Initiative)
- Learning, trying and training people (lack of critical mass)
- Partnership...



Obras com conteúdos (23)

Biblioteca Macienal - DDMIC 2008-03-12722-06-25 Obras na PORBASE

The digital is helping in the preservation of the paper ©



# Selective Web Harvesting (RECOLHA)

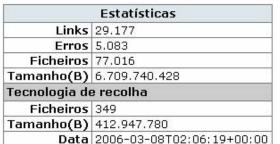


Dados da colecção				
Título	Sociedades Científicas Portuguesas			
Editora	Biblioteca Nacional			
Data	2005-01-06T12:26:24+00:00			
Indentificador	2e4f2fb5-5fde-11d9-83db-4563efade62c			
Língua	pt			

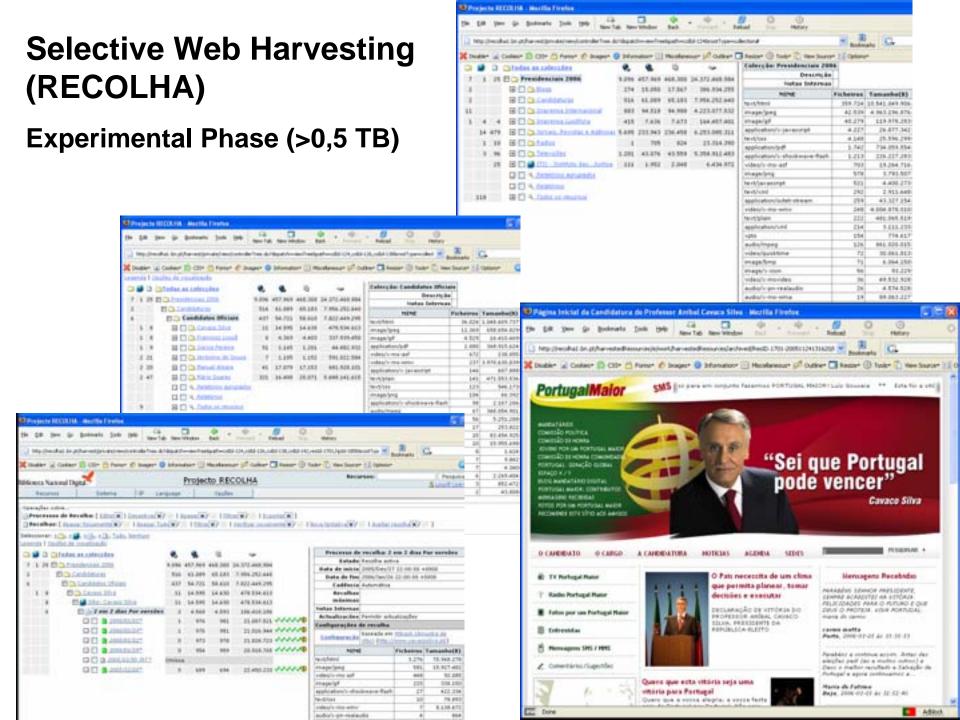
#### Mais dados técnicos

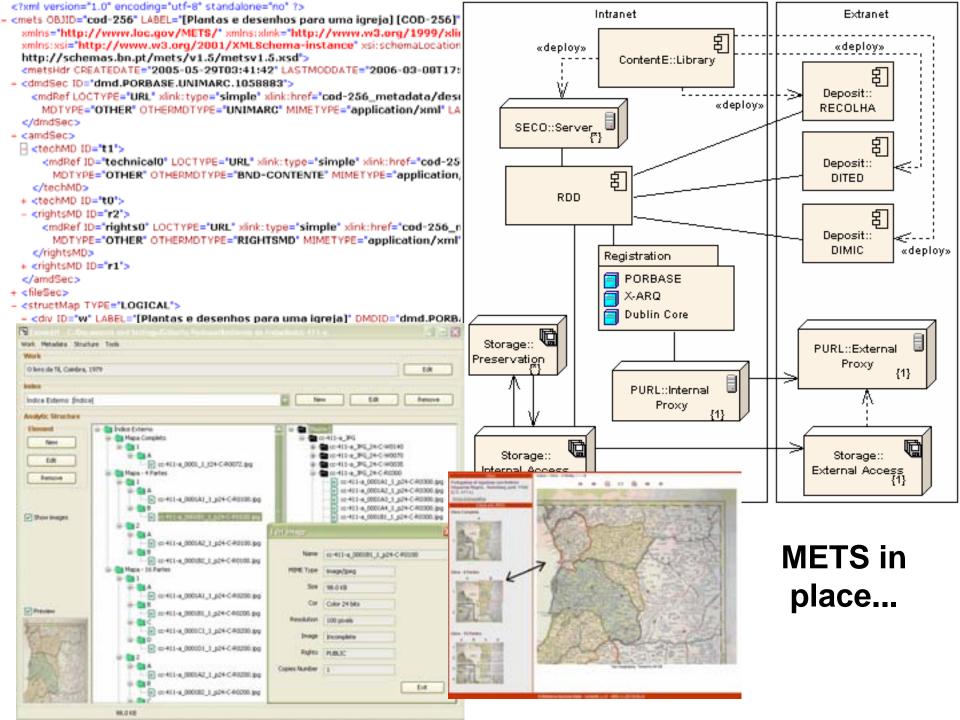
- · Sociedades Científicas Portuguesas
  - APAET Associação Portuguesa de Análise Experimental de Tensões
    - **2005/08/18**
  - Artigos da Revista de Medicina da Criança e do Adolescente (SPP)
    - **2005/09/07**
  - Boletim da Sociedade Portuguesa de Anestesiologia
    - 2005/09/07
  - Boletim Informativo SPTF
    - **2005/09/05**
  - Flyer Sociedade Portuguesa de Vida Selvagem
    - **2005/09/05**
  - NDCA Núcleo de Doenças de Comportamento Alimentar
    - **2005/08/16**
  - Radioprotecção
    - 2005/09/05
  - Revista Portuguesa de Estomatologia e Medicina Dentária
     Portuguesa de Estomatologia e Medicina Dentária
    - 2005/09/05
  - Revista Portuguesa de Medicina Intensiva
    - **2005/09/07**
  - Revista Psicoterapia Breve
    - <u>2005/09/05</u>
  - Revista SPMI
    - <u>2005/09/07</u>

🥙 http://recolha1.bn.pt - Projecto Recolha: Bibliotec... 🔄

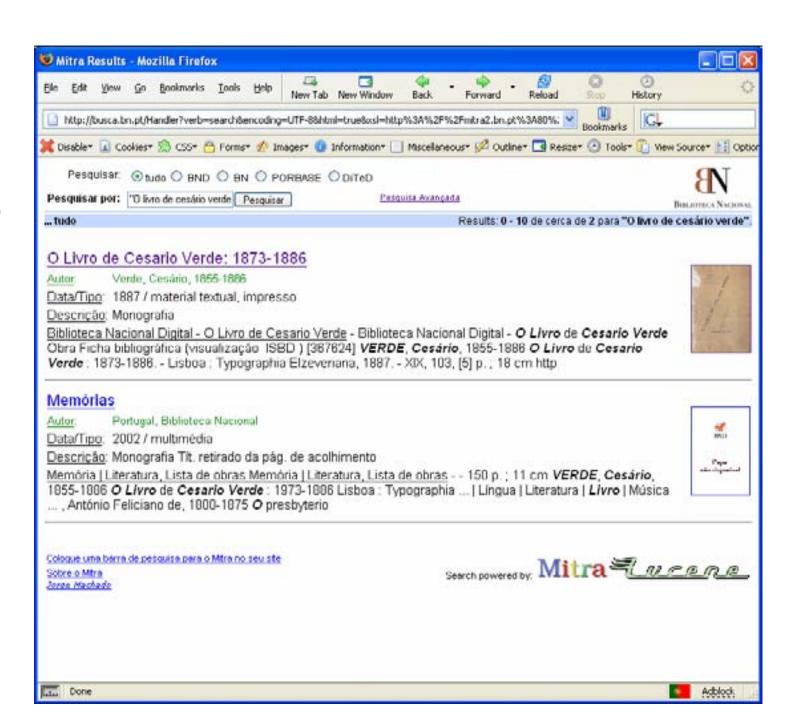


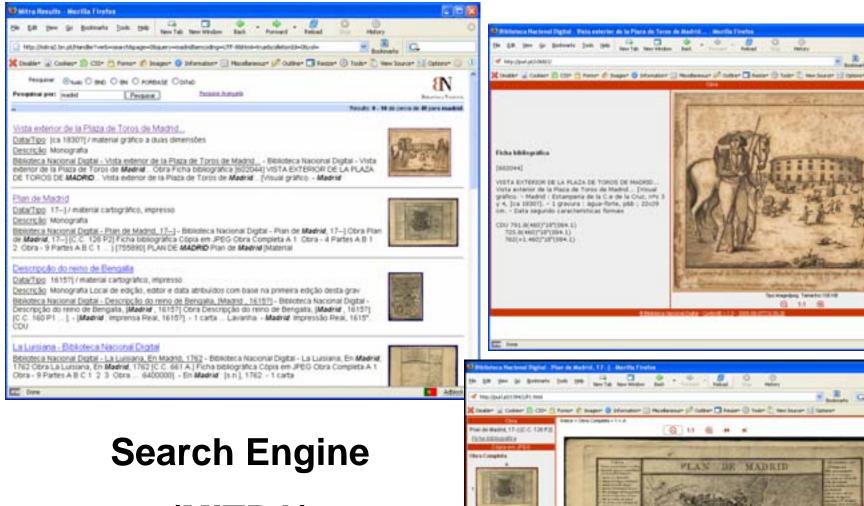
MIME	Ficheiros	Tamanho(B)	
application/msword	131	24.207.086	
image/jpeg	5.184	272.975.983	
application/x-msdos-program	3	2.248.704	
application/vnd.ms-excel	1	98.304	
video/x-msvideo	3	958.976	
chemical/x-xyz	6	560	
application/octet-stream	593	196.754.202	
application/x-tar	1	491.520	
image/pjpeg	1	54.405	
image/x-xbitmap	2	1.598	
application/x-shockwave-flash	161	13.028.979	
application/x-java-applet	1	17.142	
text/css	78	296.995	
image/x-icon	3	1.858	
image/tiff	15	89.645.598	
audio/basic	2	269.459	
audio/x-wav	1	90.700	
video/mpeg	1	1.273.860	
image/bmp	3	130.346	
image/gif	3.429	29.555.878	
application/pdf	2.741	2.848.717.719	
text/rtf	5	177.548	
application/vnd.ms-powerpoint	27	21.971.968	
text/xml	65	210.079	
application/x-msmetafile	2	42.800	
text/html	15.874	169.548.425	
text/plain	56	2.857.237	
video/unknown	1	21.400	
image/png	627	2.226.956	
CONTRACTOR CONTRACTOR	220	SECRETE ELECTION S	





### Generic Search Engine (MITRA)





# (MITRA)

Full text, Dublin Core, UNIMARC, METS, ...



#### Preservation of Metadata (REPOX)

```
<?xml version="1.0" encoding="ISO-8859-1" ?>
<record xmins:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
 xmins:marc="http://www.bn.pt/standards/metadata/marcxml/1.0/" xmins="http://repox.porbase.org/xml/repox/1.0/"
 xsi-schemaLocation="http://www.bn.pt/standards/metadata/marcxml/1.0/ http://xml.bn.pt/schemas/Unimarc-1.0.xsd,
 http://repox.porbase.org/xml/repox/1.0/ http://repox.porbase.org/xml/repox-1.0.xsd* control-number=*10045*>

    - cversion control-number="10045" date="2005-08-31">

   <event type="RECORD_CHANGE" user="iquinta" />

    contents

                                                                     cd REPOX Deployment Model
   - <marc:record>
       cmarc:leader>00539cam 02200205 04500 c/marc:leader>
       <marc:controlfield tag="001">10045</marc:controlfield>
                                                                                                                               Java EE Application Server
     + <marc:datafield ind1=" ind2=" tag="100">
     + <marc:datafield ind1="0" ind2="" tag="101">
                                                                             Data Source

    cmarc:datafield ind1=" ind2=" tag="102">

                                                                                                                                    呂
     - <marc:datafield ind1="1" ind2="" tag="200">
         cmarc:subfield code="a">Contos</marc:subfield>
                                                                                                               REPOX Manager
                                                                                                                                                    Access Points Manager
                                                                                    1..*
         <marc:subfield code="f">Eça de Queiroz</marc:subfield>
       </marc:datafield>
     + <marc:datafield ind1=" ind2=" tag="205">
                                                                                                               Digital Signature
     cmarc:datafield ind1='2' ind2=" tag='225">
                                                                                                                                                           MySql DBMS
                                                                                                                   Manager
     - <marc:datafield ind1=" ind2="1" tag="700">
         <marc:subfield code="a">Queiros,</marc:subfield>
                                                                                                                                                             «artifact»
                                                                        Data Source Interface
         <marc:subfield code="b">Eça de, </marc:subfield>
                                                                                                                                                  Record Access Point Indexes
         <marc:subfield code="f">1845-1900</marc:subfield>
         <marc:subfield code="3">10528</marc:subfield>
       </marc:datafield>
                                                                                                                  File System
     + <marc:datafield ind1=" ind2="0" tag="801">
     + <marc:datafield ind1=" ind2=" tag="966">
                                                                                                                    «artifact»
     </marc:record>
                                                                                                                  XML Records
   </content>
  </versions

    - eversion control-number="10045" date="2005-08-01">

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 - <content>

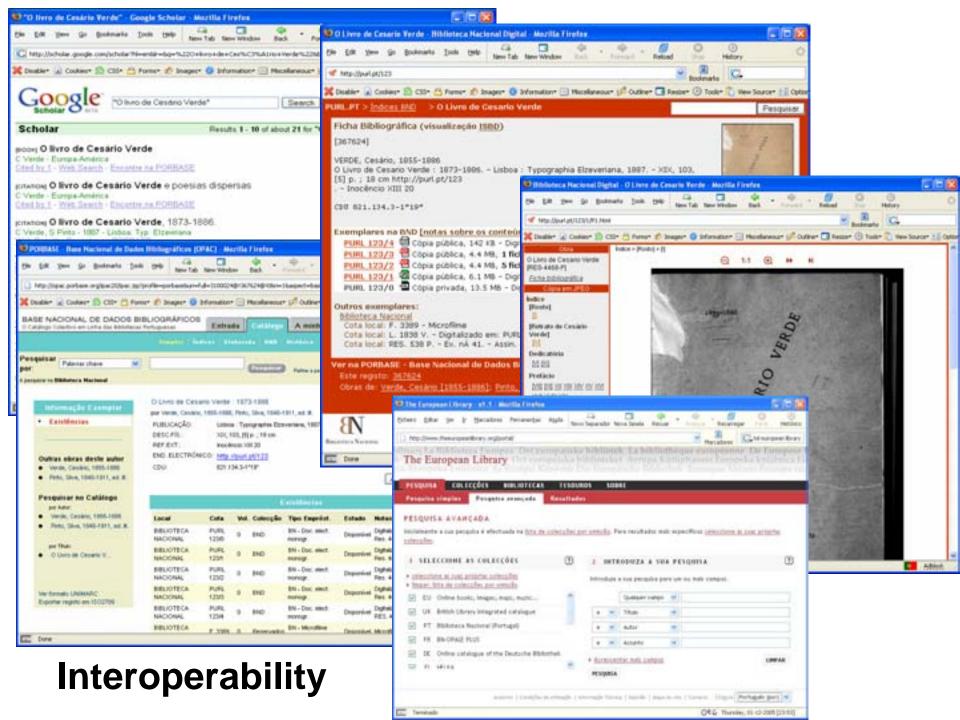
    - <marc:record>

       cmarc:leader>00539cam 02200205 04500c/marc:leader>
       <marc:controlfield tag="001">10045</marc:controlfield>
     + <marc:datafield ind1=" ind2=" tag="100">
                                                                                                                                                    Web Services Interface
                                                                            Command Line Interface
                                                                                                                 Web Interface
     + <marc:datafield ind1="0" ind2="" tag="101">
     + <marc:datafield ind1=" ind2=" tag="102">
     - <marc:datafield ind1="1" ind2="" tag="200">
        <marc:subfield code='a'>Contos</marc:subfield>
         <marc:subfield code="f">Eça de Queiroz</marc:subfield>
       </marc:datafield>
     + <marc:datafield ind1=" ind2=" tag="205">
                                                                                                                  Web Client
                                                                                                                                                        External Service
     cmarc:datafield ind1='2' ind2=" tag="225">
     + <marc:datafield ind1=" ind2="1" tag="700">
     + cmarc:datafield ind1=" ind2="0" tag="801">

    cmarc:datafield ind1=" ind2=" tag="966">

                                                                                 Administrator
     </marc:record>
   </content>
  </versions
```

</records



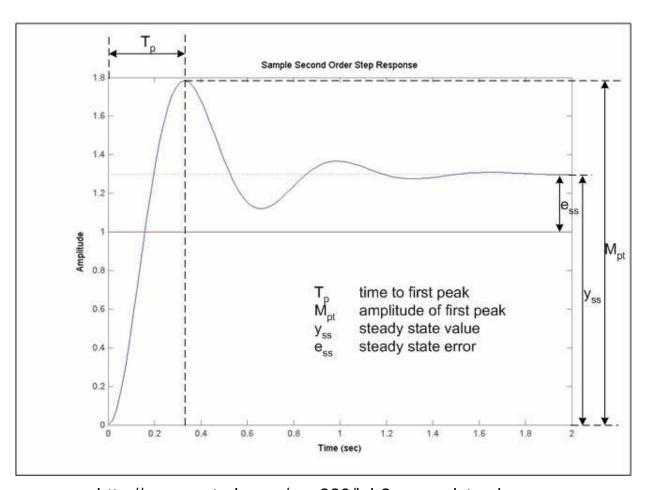
#### In short:

- Services
  - Voluntary deposit
  - Selective harvesting
  - Search
    - Interoperability
      - PORBASE, TEL, TUMBA, B-ON, Google Scholar
  - Storage (Preservation???)
- Technology / Architecture
  - SOA Service Oriented Architecture
  - Open standards and open source software
  - OpenURL / URN / PURL
- Strategy
  - Many actions running in parallel, but small steps!!!

### **Portugal**

- BN:
  - 40TB of contents (digitized, harvested and deposited)...
    - Master copies
    - Access copies
  - 30.000 titles (maps ... ... periodicals)
  - only 15% is now on-line, the remaining will become during 2006...
- Strategy
  - Cooperation
    - ...PREDICA Center of Excellence...
- ...and its all!!!
  - Wait and see what comes out of the new Public Administration structure...

# The problem of Digital Preservation in my eyes (please be aware that I used to ware glasses...)



http://ece.uwaterloo.ca/~se380/lab2\_secordstep.jpg

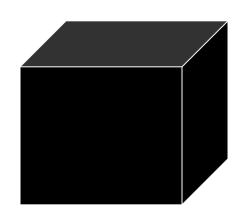
It is "just" a SECOND ORDER problem!!!



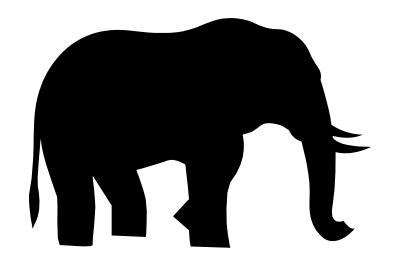


# The problem of Digital Preservation in the eyes of "a normal person"...





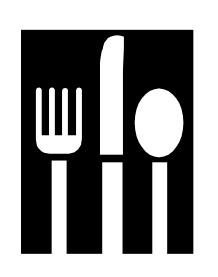


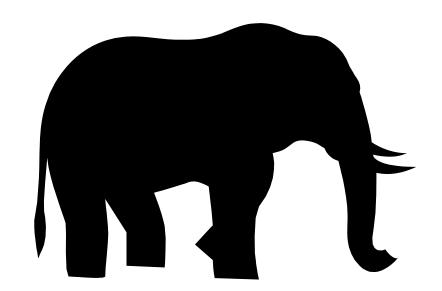


...so big, that it can be of the size of an elephant!!!

The problem of Digital Preservation in the eyes of an engineer...

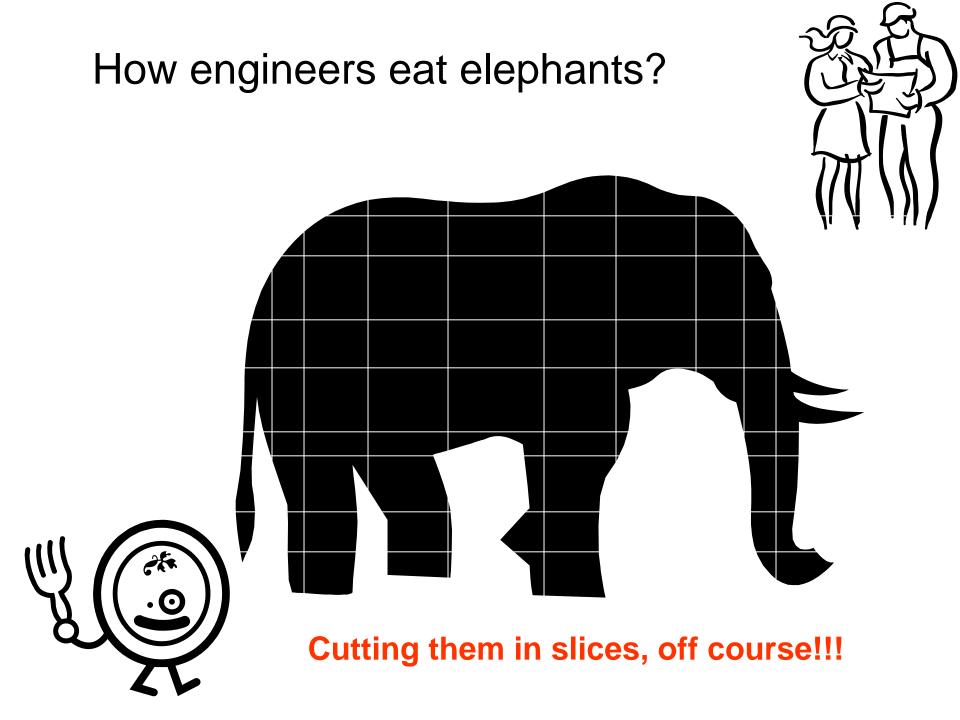






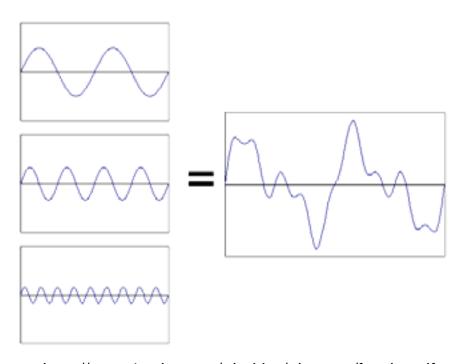


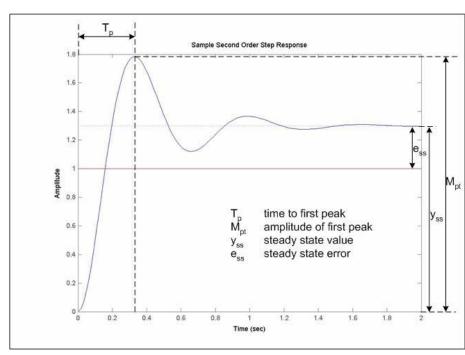
How to eat an elephant???



Not so innovative, after all...
Mr. Fourier(1768-1830) had discovered that a long time ago! And not just for elephants, but for everything around us...



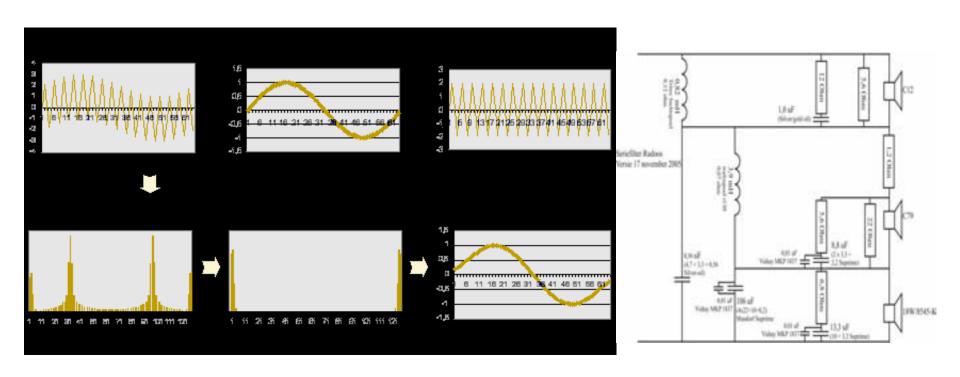




http://www1.minn.net/~keithp/pictures/fourier.gif

In the eyes of Mr. Fourier, everything around us can be studied and expressed as a sum of nice sinusoids!!!...

Using filters we can decompose complex problems in simpler parts, and then remove the parts that are noise, or those that are still too complex for us...



But what is the best way to slice the problem and identify what parts are more relevant and what parts are less relevant?

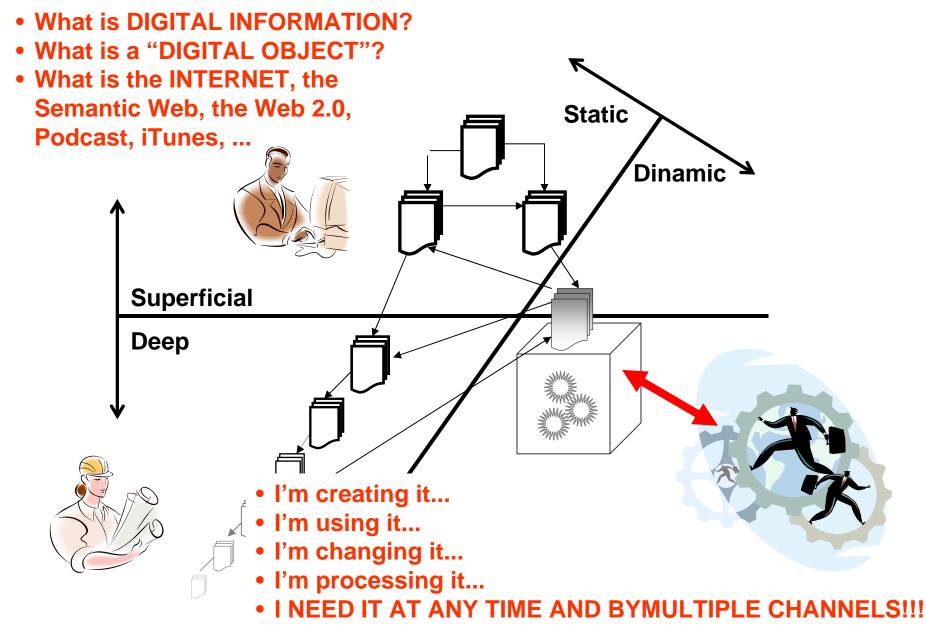
"Simply" start making the right questions!!!

# The case of how to make the right questions in the eyes of Mr. Zachman (Zachman Framework for Enterprise Architecture 1987)



View	Data (What)	Function (How)	Network (Where)	People (Who)	Time (When)	Motivation (Why)
Scope/Contextual (Planner view)	Things important to the business	Processes the business performs	Locations in which the business operates	-	Events significant to the business	Business goals/strategies
Business Model/Conceptual (Owner view)	e.g., Semantic Model	e.g., Business Process Model	e.g., Business Logistics System	e.g., Work Flow Model	e.g., Master Schedule	e.g., Business Plan
System Model/Logical (Designer view)	e.g., Logical Data Model	e.g., Application Architecture	e.g., Distributed System Architecture	e.g., Human Interface Architecture	e.g., Processing Structure	e.g., Business Rule Model
Technology Model/Physical (Builder view)	e.g., Physical Data Model	e.g., System Design	e.g., Technology Architecture	e.g., Presentation Architecture	e.g., Control Structure	e.g., Rule Design
Detailed Representations/ out- of-context (Subcontractor view)	e.g., Data Definition	e.g., Program	e.g., Network Architecture	e.g., Security Architecture	e.g., Timing Definition	e.g., Rule Specification
<b>Functioning Enterprise</b>	e.g., Data	e.g., Function	e.g., Network	e.g., Organization	e.g., Schedule	e.g., Strategy

### Digital Preservation: What?

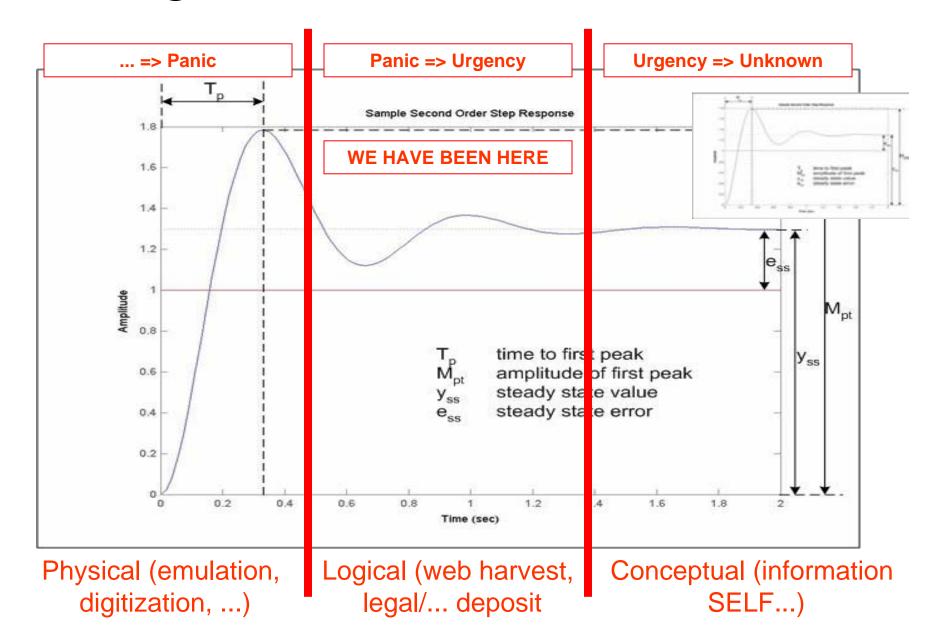


### Digital Preservation: What?

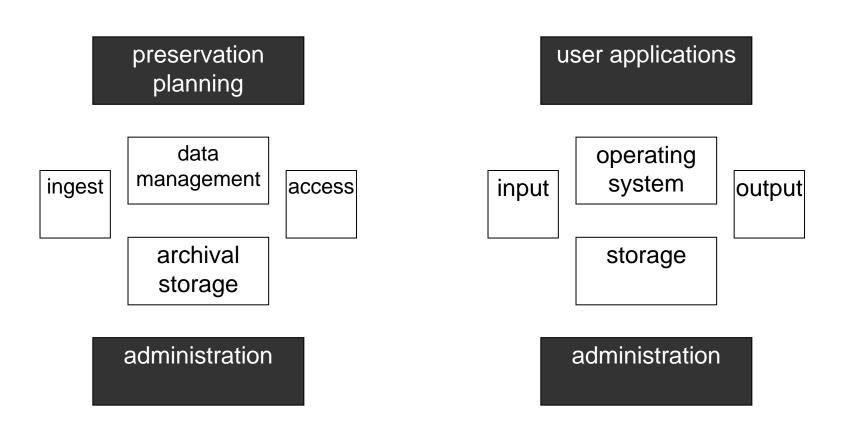




# Digital Preservation: What?



# Digital Preservation: How?



We should be careful with possible false sense of security...

### Digital Preservation: How?

#### What About Standards (IMHO)?

- Considering that
  - Technological innovation is not going to wait for us!!!
  - We can not wait for the ultimate solutions
- Than we need to rethink what it really means standardization?
  - IMHO, it might be not anymore ONLY to assure that I'm following the acronyms (OAIS, OAI-PMH, DC, UNIMARC, METS, ISOxpto, ...), but ALSO to assure that I HAVE THE RIGHT ORGANIZATIONAL STRATEGY AND I HAVE ALL THE KNOWLEDGE AND FLEXIBILITY TO PROVIDE ANY KIND OF NEW SERVICE, AT ANY MOMENT, AND AT AN AFFORDABLE COST!!!

#### Concluding

- Standardization can not be only an objective, but a mean!
- Standardization can not be a constraint, but freedom!
- FLEXIBILITY not to stay, but to move, even if for that there the need to start by changing the standards (REIFICATION)!!!

# Digital Preservation: Where?

- "Where" depends a lot of the "What" and "Who"...
- Physical items are sometimes unique and/or difficult to reproduce
  - ⇒Preservation of the artifacts!!!
- Digital items are easy to reproduce
  - ⇒Preservation of the information
    - ⇒What's the role of REPLICATION???
    - ⇒But what about highly dynamic environments???
    - $\Rightarrow$ ...

# Digital Preservation: Who?

- Who is producing information?
- Who has rights on the information?
- Who can access and use information?
- Who are my costumers?
- Who are my partners?
- Who are my competitors?
- But the first question as to be always: Who am I?

# Digital Preservation: When?

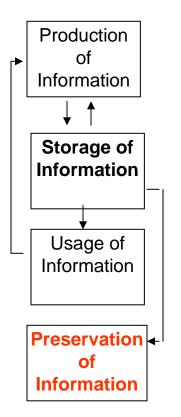
- A common issue in technological problems is the risk of the earlier adopters (discredit and loose of motivation in case of failure)...
- Too soon can be disastrous!!!
- But also too late can be... simply too late!!!
- When ALWAYS?
- When WHEN POSSIBLE?
- When SOMETIMES?
- When NEVER?

# Digital Preservation: Why?

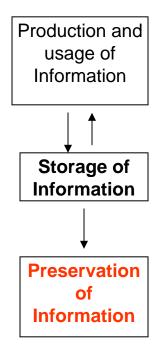
• A REALLY GOOD QUESTION...

# Digital Preservation: Revising the What?

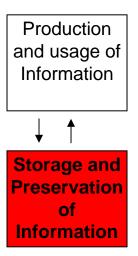
Print-"like" (proprietary hw, CD/DVD, ...)



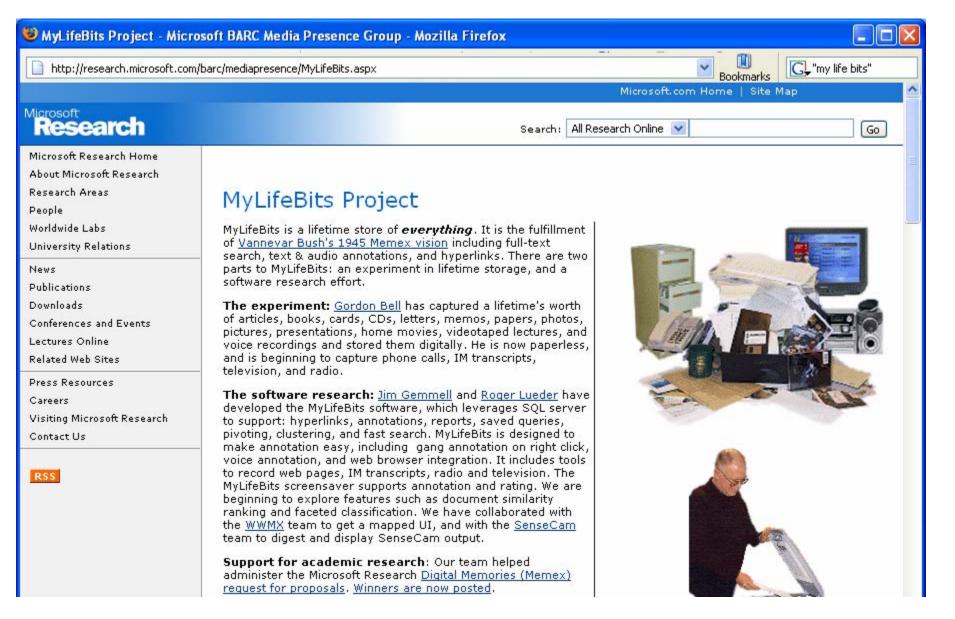
Early digital (Web as a publishing space)



Full digital (ubiquity of the computing devices, but also multiple Internets!!! ...)



# Digital Preservation: The ultimate What? How? Where? Who? When? Why? (just to make it looking worst)



#### So what?

#### Don't panic!

Just give your best to really understand your mission, analyze the problem, define your scope, and address what you are sure that you have the resources to address (time, people, technology, competencies and money)!!!

#### So what?

#### And also important:

Never do it alone (it is by itself a very heterogeneous issue –technical, legal, political, economic, ...)!!!

Look for complementary partnership (especially technical and scientific advising – universities, ...!!!)

Review yourself PERMANENTLY!!!